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# Capacitance Modeling of AlN dielectric for AlGaN HEMT (MIS-HEMT) Device with two Subbands

S.Baskaran<sup>1</sup>, P.Kalaivani<sup>2</sup>, M.Naveenkumar<sup>3</sup>

Professor, Department of Electronics and Communication Engineering, SKP Engineering College Tiruvannamalai,

Tamilnadu, India<sup>1</sup>

Assistant Professor, Department of Electronics and Communication Engineering, SKP Engineering College

Tiruvannamalai, Tamilnadu, India<sup>2, 3</sup>

**ABSTRACT:** This paper presents a physics-based model for two-dimensional electron gas (2DEG) density of  $Al_xGa_{1-x}N/GaN$  MIS-HEMT device with AlN dielectric. With the unified  $E_f$  model, a surface-potential ( $\phi_s$ ) based continuous and symmetric drain current ( $I_{ds}$ ) model is developed. Using the unified single-piece explicit( $E_f$ ) solution, from sub-threshold to strong inversion region, an accurate and simple charge control model for the metal-insulator-semiconductor high electron-mobility transistor (MISHEMT) device is formulated which includes the two lowest sub-bands ( $E_0$  and  $E_1$ ) in the triangular well. Based on this, the gate charge, and the gate capacitances have been developed. The modeled I-V and C-V characteristics of the device are validated by comparison with the experimental data. The proposed model is in very good agreement with numerical calculations.

**KEYWORDS:** GaN, 2-Dimensional electron gas (2DEG) density, metal–insulator–semiconductor high electronmobility transistor (MISHEMT), triangular quantum well, DC characteristics model, and Capacitance model.

## I. INTRODUCTION

AlGaN/GaN based high electron mobility transistors (HEMTs) delivers outstanding performance such as high electron mobility, high saturation current, low on-resistance and large breakdown voltage. These unique properties make AlGaN/GaN HEMTs a promising candidate for high frequency, high speed and nanoscale power applications [1]. The wide bandgap and high breakdown voltage of AlGaN/GaN materials limit the performance and reliability of AlGaN/GaN HEMTs for operation in high temperature environments [2]. The primary performance limiting factor of AlGaN/GaN HEMTs is current collapse effect. Current collapse effect is recognized as a foremost aspect causing DC and RF parameters degradation under large input signals. The performance of AlGaN/GaN HEMTs for high power and high temperature applications can be improved by using insulating gate dielectrics. The insulating dielectrics are extensively used to reduce gate leakage current, enhance operating voltage and shield device surface. The oxide-based dielectrics pave way for interface sources leading to current collapse. With this consideration, the nitride-based dielectrics especially AlN are more preferred due to the larger band gap and smaller mismatch [3]. Even though HEMTs possess many benefits, but when compared with metal-insulator-semiconductor high electron-mobility transistor (MIS-HEMT), HEMTs

lags in some factors. MIS-HEMTs have attracted a lot of attention due to much reduced gate leakage and better restrain of current collapse.

In this paper, a physics-based two dimensional electron gas (2DEG) density  $(n_s)$  model for  $Al_xGa_{1-x}N/GaN$  MIS-HEMT device with AlN dielectric is presented. With the proposed  $n_s$  model, a surface-potential ( $\phi_g$ ) based continuous and symmetric drain current ( $I_{ds}$ ) model is developed. Using the unified single-piece explicit ( $E_f$ ) solution, an accurate and a simple charge control model for  $Al_xGa_{1-x}N$  /AlN/GaN MIS-HEMT device is formulated which includes the two lowest sub-bands ( $E_0$  and  $E_1$ ) in the triangular well. The proposed model results are verified with the experimental data.



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Two-dimensional electron gas
Unintentionally doped
Permittivity or dielectric constant of AlGaN
Permittivity or dielectric constant of AlN
Thickness of AlGaN barrier layer
Thickness of AlN spacer layer
Total thickness of AlGaN barrier and AlN Spacer layer
Conduction band offset
Doping concentration in AlGaN
Polarization induced charge density
Low- filed mobility
Sheet Carrier density
Thermal voltage
Gate capacitance
Channel length modulation parameter
Density of states
Electron charge
Boltzmann's constant
Formation of first and second Energy sub-bands
Experimentally determined parameters using AlGaN effective mass



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## Model structure and Formulation

The schematic representation of the  $Al_xGa_{1-x}N/AlN/GaN$  MIS-HEMT is shown in Fig.1 and the corresponding band diagram of MIS HEMT including the first two subbands is shown in Fig.2.



Fig.1 Schematic cross-section of Al<sub>x</sub>Ga<sub>1-x</sub>N/AlN/GaN MIS-HEMT

The measured device is with a source-gate spacing  $L_{GS}$  of 1µm, gate length  $L_G$  of 2.5 µm, drain-gate spacing  $L_{GD}$  of 6µm and gate width of 60 µm. Also it consists of high resistivity Si wafer over 2.5 µm carbon- doped GaN buffer layer, 500-nm i-GaN layer, 1-nm AlN interlayer, 23-nm undoped  $Al_{0.25}Ga_{0.75}N$  top barrier layer, and 5-nm GaN cap layer.

The Fermi potential (in volts),  $E_f$ , is referenced to the conduction-band edge at the heterointerface (x = 0). Electrostatic potential and voltage (in volts) are referenced to the Fermi level in the body.

The analytical expression of n<sub>s</sub> with two sub-bands in the quantum well given by [1]

2/2

$$n_{s} = Dv_{th} \ln\left[\left(1 + e^{(E_{f} - E_{0})/v_{th}}\right)\left(1 + e^{(E_{f} - E_{1})/v_{th}}\right)\right]$$
(1)

$$E_0 = \gamma_0 n_s^{2/3} \tag{1a}$$

$$E_1 = \gamma_1 n_s^{2/3} \tag{1b}$$

where D is the density of states of the 2DEG associated with a single quantized energy level, with  $E_0$  and  $E_1$  being the two lowest sub-bands in the triangular well with respect to the conduction-band edge at the heterointerface,  $V_{th} = kT/q$  is the thermal voltage and  $E_f$  is the Fermi level of the bottom of the triangular well.

On the other hand, a self consistent solution of  $n_s$  considering two energy levels is [4]



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$$n_{s} = DV_{th} \left\{ ln \left[ exp\left(\frac{E_{f} - E_{o}}{V_{th}}\right) + 1 \right] + ln \left[ exp\left(\frac{E_{f} - E_{1}}{V_{th}}\right) + 1 \right] \right\}$$
(2)

where

$$E_{0} = \gamma_{0} n_{s}^{2/3}$$

$$E_{1} = \gamma_{1} n_{s}^{2/3}$$

$$n_{s} = \frac{\varepsilon}{gd} \left( V_{go} - E_{f} - V_{x} \right)$$
(3)

where  $V_{g_0} = V_g - V_{off}$ ,  $V_{off}$  is cutoff voltage,  $V_x$  is the channel potential at any point x in the channel.

$$n_{s} = \frac{\epsilon(x)}{q(d_{d} + d_{i})} (V_{gs} - V_{off} - V_{x} - E_{f})$$
(4)

where,  $\varepsilon(x)$  is the total permittivity of AlGaN and AlN,  $d=d_d+d_i$  is the total thickness of barrier AlGaN and spacer AlN.



Fig.2 Energy-band diagram of a generic heterostructure MIS-HEMT

The parameters and description of all the other symbols used is given in Table 1. For the purpose of developing a compact drain model, a continuous unified expression for  $n_s$  valid in all regions of operation is desirable [5].

In this work, we have made an analysis and modeled sheet carrier density  $n_s$  and core drain model with respect to gate voltage  $V_{gs}$ , gate capacitance  $C_{g}$ , and also explored the DC characteristics model for insulator layer based  $Al_xGa_1$ . xN/AlN/GaN MIS HEMTs. All the results are validated using experimental data. This model is developed by considering the variation of  $E_f$ , the first subband  $E_0$ , the second subband  $E_1$  and  $n_s$  with applied gate voltage  $V_{gr}$ .



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## a) Analysis of 2DEG $n_s$ Model

Unified Single-Piece E<sub>f</sub> Solution:

A unified single-piece E<sub>f</sub> is derived [1] can be written as

$$E_f(V_g, V_c) = V_{go} - \Delta E_f \tag{5}$$

where

$$\Delta E_{f} = \frac{2v_{th} \left(1 - \frac{E_{f,act}}{V_{go,act}}\right) \ln \left(1 + \exp\left(\frac{V_{go}}{2v_{th}}\right)\right)}{1 + \tilde{C}_{d} \left(1 - \frac{E_{f,act}}{V_{go,act}}\right) \exp\left(-\frac{V_{go}}{2v_{th}}\right)}$$
(6)

 $E_{f,act}$  is valid from moderate to strong inversion regions namely active region [1].

$$E_{f,act} = \frac{2M_{eff}V_{g0,CR}ln\left(1 + exp\left(\frac{V_{g0}}{2V_{g0,CR}}\right)\right)}{1 + \eta \frac{M_{eff}V_{g0,CR}}{E_{f,mod}}exp\left(\frac{-V_{g0}}{2V_{g0,CR}}\right)}$$
(7)

where  $\eta$  is a fitting parameter,  $V_{g0,CR}$  is defined as critical voltage,  $E_{f,mod}$  is the unified  $E_f$  value in moderate to strong inversion region.

In moderate inversion region, the accuracy is not very much demand, therefore  $\Delta E_f$  can be written as [1]

$$\Delta E_{f} = \frac{2v_{th} \left(1 - M_{eff}\right) \ln \left(1 + e^{V_{go}/2v_{th}}\right)}{1 + \tilde{C}_{d} \left(1 - M_{eff}\right) e^{-V_{go}/2v_{th}}}$$
(8)

## b) Drain-Current Model

Once an explicit  $E_{f}$  model is developed, a compact surface potential model can be used in  $\phi_{z}$  based terminal current/charge model. We use MOSFET model for generic MISHEMTs, treating the 2DEG as an inversion charge sheet on a UID body. The major relevant formulas are listed in the following. The drain current is given by [1]

$$I_{ds} = \mu_{eff} C_d \frac{W}{L} \left( V_g - V_{off} - \overline{\phi}_s - 2\nu_{th} \right) V_{ds,eff}$$
<sup>(9)</sup>

where W and L are width and length of the gate.

$$\overline{\phi}_{s} = \left(\phi_{s,s} + \phi_{s,d}\right) / 2$$

$$\phi_{s,c} = V_{c,eff} + E_{f} \left(V_{c,eff}\right)$$

$$= V_{g} - V_{off} - \Delta E_{f} \left(V_{c,eff}\right)$$

$$(c = s, d)$$

$$(11)$$

where  $\phi_{s,c} \equiv \phi_s(V_{c,sff})$  with c=s/d for source/drain (S/D)



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The effective source–drain voltage is given by [1]

$$V_{ds,eff} = V_{d,eff} - V_{s,eff}$$
<sup>(12)</sup>

$$V_{c,eff} = \mathcal{G}\left\{V_{c,sat}, V_{c}; \delta\right\}$$
(13)

$$V_{d,sat} = \frac{V_{gt}(V_s)E_{sat,s}L}{V_{gt}(V_s) + E_{sat,s}L + 4v_{th}} + V_s$$
(14)

$$V_{s,sat} = \frac{V_{gt}(V_d)E_{sat,d}L}{V_{gt}(V_d) + E_{sat,d}L + 4v_{th}} + V_d$$
(15)

$$E_{sat,c} = 2v_{sat} / \mu_{0,c} \tag{16}$$

where  $V_{sat}$  is the saturation velocity.

The vertical-field mobility is given by

$$\mu_{0} = \frac{\mu_{1}}{1 + \frac{\mu_{1}}{\mu_{2}}E_{eff}^{1/3} + \frac{\mu_{1}}{\mu_{3}}E_{eff}^{2}}$$
(17)

where  $\mu_1, \mu_2, \mu_3$  are mobility parameters.

The another formula from MOSFETs is the 2DEG charge, given by  $qn_s/C_d$  from (1) as

$$V_{gt}(V_c) = V_g - V_{off} - \overline{\phi}_s(V_c)$$
<sup>(18)</sup>

Also

$$V_{gt}(V_c) = \Delta E_f(V_c)$$
<sup>(19)</sup>

The effective field used in the vertical-field mobility is given by

$$E_{eff} = \frac{C_d \left( V_g - V_{off} - \overline{\phi}_s \right)}{2\varepsilon_{uid}}$$
$$= C_d \frac{\overline{\Delta E_f (V_{c,eff})}}{2\varepsilon_{uid}}$$
(20)

$$\overline{\Delta E_f(V_{c,eff})} = \frac{\Delta E_f(V_{s,eff}) + \Delta E_f(V_{d,eff})}{2}$$
(21)

where  $\Delta E_f(V_c)$  is (6)

## c) Charge Model

When the gate depletion and channel depletion overlap to give a fully depleted barrier layer, the carrier density is given by [4]

$$n_s = \frac{\varepsilon}{qd} \left( V_{go} - E_f \right) \tag{22}$$



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where  $Vg0 = Vg - V_{OFF}$ .

$$V_{go} - V = \frac{n_s q d}{\varepsilon} + \frac{\gamma_0 + \gamma_1}{2} n_s^{2/3} + \frac{V_{th}}{2} \ln\left(\frac{n_s}{DV_{th}}\right)$$
(23)

An analytical current model can be formulated using the definition of the drain current along the channel is

$$dV = -\left(\frac{qd}{\varepsilon} + \frac{\gamma_0 + \gamma_1}{3}n_s^{-1/3} + \frac{V_{th}}{2}n_s^{-1}\right)dn_s$$
<sup>(24)</sup>

The gate charge can be obtained by integrating the charge density along the channel over the gate area

$$Q_G = W \int_0^L q n_s(x) \, dx \tag{25}$$

$$Q_{G} = WLq \begin{pmatrix} \int_{V_{s}}^{V_{d}} n_{s}^{2} dV \\ \frac{V_{s}}{V_{d}} \\ \int_{V_{s}}^{V_{d}} n_{s} dV \end{pmatrix}$$
(26)

The two integrals at the numerator and denominator is represented as  $f(n_s)$  and  $g(n_s)$ , respectively, and integrating after changing the integration variable using (23) gives

$$f(n_s) = \frac{qd}{3\varepsilon} \left( n_D^3 - n_S^3 \right) + \frac{\gamma_0 + \gamma_1}{8} \left( n_D^{8/3} - n_S^{8/3} \right) + \frac{1}{4} V_{th} \left( n_D^2 - n_S^2 \right)$$
(27)

$$g(n_s) = \frac{qd}{2\varepsilon} \left( n_D^2 - n_S^2 \right) + \frac{\gamma_0 + \gamma_1}{5} \left( n_D^{5/3} - n_S^{5/3} \right) + \frac{1}{2} V_{th} \left( n_D - n_S \right)$$
(28)

The total gate charge then becomes

$$Q_{G} = WLq \left( \frac{\frac{qd}{3\varepsilon} \left( n_{D}^{3} - n_{S}^{3} \right) + \frac{\gamma_{0} + \gamma_{1}}{8} \left( n_{D}^{8/3} - n_{S}^{8/3} \right) + \frac{1}{4} V_{th} \left( n_{D}^{2} - n_{S}^{2} \right)}{\frac{qd}{2\varepsilon} \left( n_{D}^{2} - n_{S}^{2} \right) + \frac{\gamma_{0} + \gamma_{1}}{5} \left( n_{D}^{5/3} - n_{S}^{5/3} \right) + \frac{1}{2} V_{th} \left( n_{D} - n_{S} \right)} \right)$$
(29)

## d) Capacitance model

The gate-source and gate-drain capacitances can now be calculated using the partial differentiations of the gate charge with respect to the corresponding source and drain terminal voltages. Therefore the capacitances are obtained as

$$C_{G_X} = WLq\left(\frac{\frac{\partial f(n_S)}{\partial V_X}g(n_S) - f(n_S)\frac{\partial g(n_S)}{\partial V_X}}{g(n_S)^2}\right)$$
(30)

 $f(n_s)$  and  $g(n_s)$  can also be defined as

$$f(n_s) = f_{main}(n_D) - f_{main}(n_S)$$
(31)

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$$g(n_s) = g_{main}(n_D) - g_{main}(n_S)$$
(32)

where

$$f_{main}(n_x) = \frac{qd}{3\varepsilon} \left(n_x^3\right) + \frac{\gamma_0 + \gamma_1}{8} \left(n_x^{8/3}\right) + \frac{1}{4} V_{th}\left(n_x^2\right)$$
(33)

$$g_{main}(n_x) = \frac{qd}{2\varepsilon} \left(n_x^2\right) + \frac{\gamma_0 + \gamma_1}{5} \left(n_x^{5/3}\right) + \frac{1}{2} V_{th}(n_x)$$
(34)

where  $n_x = n_D$  at the drain terminal and  $n_x = n_S$  at the source terminal.

From (33) and (34), the derivatives of  $f_{main}(n_x)$  and  $g_{main}(n_x)$  can be written as

$$\frac{df_{main}(n_x)}{dV_x} = \left(\frac{qd}{\varepsilon} \left(n_x^2\right) + \frac{\gamma_0 + \gamma_1}{3} \left(n_x^{5/3}\right) + \frac{1}{2} V_{th}\left(n_x\right)\right) \frac{dn_x}{dV_x}$$
(35)

$$\frac{dg_{main}(n_x)}{dV_x} = \left(\frac{qd}{\varepsilon}\left(n_x\right) + \frac{\gamma_0 + \gamma_1}{3}\left(n_x^{2/3}\right) + \frac{1}{2}V_{th}\right)\frac{dn_x}{dV_x}$$
(36)

where the factor  $dn_x/dV_x$  can be obtained from (27).

Therefore, the gate-source and the gate-drain capacitances can now be expressed as

$$C_{Gx} = WLq \left( \frac{\frac{df_{main}(n_x)}{dV_x} g(n_s) - f(n_s) \frac{dg_{main}(n_x)}{dV_x}}{\left(g(n_s)\right)^2} \right)$$
(37)

### **IV. RESULTS AND DISCUSSION**

The key transport for HEMT devices is mainly by the 2DEG charge density. The 2DEG charge density (1) with two sub-bands  $E_0$  and  $E_1$  in the triangular well approximation is a good model. The proposed model results are compared against experimental data [5] which shows an excellent agreement between them in the applied voltage of device operation.

Fig.3 shows the 2DEG sheet carrier density  $n_s$  obtained by our model (4). The obtained result is compared with the experimental result [5] shows excellent agreement and the peak values of both proposed and experimental  $n_s$  are mentioned in the figure. From the graph it is clear that there is the occurrence of linear relationship between  $n_s$  and  $V_{gs}$  when the device gets turned on completely. Further increase in  $V_{gs}$  makes the 2DEG density to reach its maximum and keeps constant. This transformation of  $n_s$  is due to the thickness of the barrier AlGaN ( $d_d$ ) and spacer AlN ( $d_i$ ). The higher mole fraction of Al will lead to the higher sheet carrier density.

Fig.4 shows the output characteristics of  $Al_xGa_{1-x}N/AIN/GaN$  MISHEMT under drain bias. The obtained result has reproduced the output characteristics of device very well. The proposed model achieved smooth and accurate descriptions of  $I_{ds}$ - $V_{ds}$  characteristics. From the plot, we can say that there is a good agreement between proposed model and experimental data [5]. The drain voltage is varied from -6V to +4V. Beyond  $V_{ds}$ =+4V, the drain current may suffer from the electric field-related mobility degradation [5].



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Fig.3 Variation of Sheet carrier density  $n_s$  with respect to gate-to-source voltage  $V_{gs}$  with T=300K for Al<sub>0.3</sub>Ga<sub>0.7</sub>N/GaN AlN-MISHEMT. The thickness of AlGaN barrier and AlN Spacer is d=24nm and  $V_{off}$  = -5.7V. Data plot  $n_s$  in both logarithm and linear scale is verified with experimental data [5].



Fig.4 Modeled  $I_{ds}$  -  $V_{ds}$  characteristics of  $Al_{0.3}Ga_{0.7}N/GaN$  AlN-MISHEMT at various  $V_{gs}$  shown is compared with experimental data taken from [5].



Fig.5 Comparison of modeled  $I_d$ - $V_{gs}$  characteristics with experimental data for  $Al_{0.3}Ga_{0.7}N$  MISHEMT with  $L_g$ =0.6µm and W=100µm device. Experimental data is taken from [5].



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Fig.5 shows the transfer characteristics of  $Al_xGa_{1-x}N/AIN/GaN$  MISHEMT. The The variation of the drain current ( $I_{ds}$ ) with gate to source voltage ( $V_{gs}$ ) shows very considerable  $I_{ds}$ - $V_{gs}$  relationship of the device. The drain current has a maximum current of 0.564 A/mm. The maximum drain current value of the proposed model and the experimental data is mentioned in the figure. The AIN/GaN hetero-junction interface polarization charge has some effect influence on transfer characteristics and improves the drain current [6].

Fig.6 shows the plot of gate to source capacitance with gate to source voltage. The variation of gate to source capacitance  $C_{gs}$  with gate to source voltage  $V_{gs}$  shows good agreement with the experimental data [5]. When the device is in OFF state, there is no contribution from  $C_{gs}$ , this is because of the very low 2DEG density. When the device is turned ON, there is a rapid increasing of Cgs due to the accumulation of positive charges by gate. As the gate to source voltage increases furthermore, the  $C_{gs}$  gets increases linearly and then keep unchanging. The presence of AlN dielectric caused the gate capacitance to contribute significantly. The thinner the dielectric layer will cause the greater gate capacitance [2].



Fig.6 Modeled gate-to-source Capacitance,  $C_{gs}$  of a device versus gate voltage in which the operating region consists of the two lowest sub-bands ( $E_0$  and  $E_1$ ) in the triangular well, at a drain voltage of 15 V, (data from [5]).



Fig.7 Variation of gate-to-drain capacitance, C<sub>gd</sub> with drain voltage, at a gate voltage of -1V.

Fig.7 shows the simulated and modeled gate-drain capacitance  $C_{gd}$  versus drain voltage  $V_d$ . The gate voltage is kept stable to -1V. The simulation of  $C_{gd}$  is done using TCAD. The result shows that the simulation data and modeled data of  $C_{gd}$  versus  $V_d$  matched well. The  $C_{gd}$  converges gradually to a constant value when  $V_d$  increases, which means that  $V_{ds}$  will affect the channel charge a little when the device is saturated [7].



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## V. CONCLUSION

Simple physics-based models for two-dimensional electron gas (2DEG) density, a surface potential based drain current, gate charge and capacitances of  $Al_xGa_{1-x}N/AlN/GaN$  MISHEMT have been presented. They are developed from a unified  $E_f$  model by considering the two lowest subbands ( $E_0$  and  $E_1$ ) in the triangular well. This consideration resulted in simple and distinct models for 2DEG density, drain current, gate charge and capacitances. These models obtained an excellent agreement between modeled and experimental data for generic  $Al_xGa_{1-x}N/AlN/GaN$  MISHEMT, by sustaining a fine level of accuracy for 2DEG density, I-V and C-V characteristics. Due to the presence of AlN dielectric, current collapse effect is reduced considerably. Thus the model can be utilized efficiently when resolving device behavior even in high temperature environments.

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