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# Image Classification using DCT and DWT in CNN

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**ABSTRACT:** Object detection with minimum computation time and lesser number of features is gaining importance from the last few years. As Conventional Neural Network (CNN) model is reliable yet data greedy, which needs thousands of images for training, lots of memory is needed to store the training data set hence not suitable for embedded devices and systems with lesser memory. To address this scenario instead of directly passing the images, it is converted into the DCT and DWT co-efficient to the CNN network for training purpose. The time taken for compilation is lesser compared to the time taken by the CNN with images. Since single image is divided into various components of DWT (LL, HL, LH, HH) and a set of DCT coefficients. The system is trained for this co-efficient a separate model is generated from every co-efficient mentioned above. All these models are used for testing purpose out of six results which classes gets the larger predication class number that is considered as the final predication class. The proposed framework is implemented in pycharm with the system configuration of 4GB RAM, 3<sup>rd</sup> Generation Intel Processor with training time of five minutes with the accuracy of 94%.

**KEYWORDS:** DCT, DWT, CNN, Model, Accuracy, minimum computation.

## I. INTRODUCTION

The conventional CNN model takes image as input and gives the prediction of the image as the output. Here we deviate from conventional ways and try to decrease burden on processing images. We obtain the DCT and DWT of the same components. These components are fed to the system to process and create models for classification of images. The block diagram shown in **Figure 1**, shows the model generation using the inputs and testing classification using the generated model. **Modeling:** The obtained values are fed to the Convolutional neural network (CNN). The CNN used here has following components:

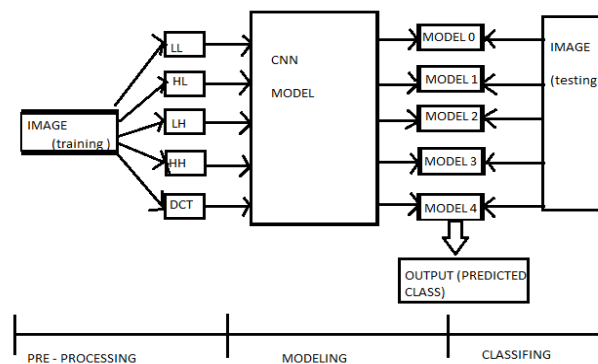


Figure 1: Block Diagram of the CNN model

**Preprocessing:** Here each image is taken and split into Augmented, Vertical, Horizontal and Diagonal components using Discrete Wavelet Transforms (DWT) and Discrete Cosine Transform (DCT) is applied to the same image. The Coefficients are obtained. The components of DWT and coefficients are stored in a list. **Modeling:** The obtained values are fed to the Convolutional neural network (CNN). The CNN used here has following components:

**1. Convolutional Layer:** This is the first as well as core layer, that extracts various features from the input layers. This layer gives convoluted results of input data to give the output result. The inputs are dimensions of image, batch size, color type (RGB or gray scale, activation functions).

**a. Filters:** Filters are feature detectors applied on original image, where features are captured like edges to create feature maps of the original image.

**b. Activation functions:** ReLU is used as activation function, the API returns  $\max(x, 0)$  i.e., element wise max of 0 or input tensor. Here the output will be of same shape and data type as that of input. There are many activation functions available like softmax, sigmoid, linear, softplus, tanh in this implementation relu is used as an activation function.

**c. Kernel Size:** Dimensions of the convolutional filters i.e., size of the matrix ( $n \times n$ ).

**Input layer:** Takes shape of the input image and number of channels (3 for RGB and 1 for grey scale).

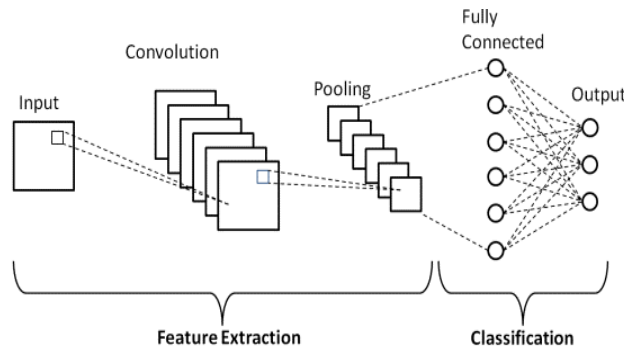


Figure 2: Fully connected model of a CNN

Image credit: Internet source

2. **Pooling layer:** This layer decreases the size of the convoluted output so that the computation cost of the system reduces. This is performed by reducing the connection between the layers and operates independently on each feature locally. There are many types of pooling used some of them are Max pooling, Average pooling, sum pooling.
3. **Flatten layer:** This layer converts a multidimensional array into a single dimension array i.e., data is converted to single column vectors which can be fed to fully connected layers.
4. **Fully Connected layer:** Consists of weights and bias which have connection between input and outputs. Here all neurons are connected to every other neuron, hence the name fully connected layer. This layer has many dense layers.
5. **Output layer:** This layer has 2 basic functions: Compiling and Fitting of model. Compiling needs the following parameters: **Optimizer:** Algorithm used to calculate weights for the CNN model, **Evaluation Matrix:** Matrix used to evaluate the performance of the matrix, **Loss:** This is used to evaluate the loss i.e., difference between predicted value and the actual value.
6. **Fitting of model** has the following parameters: **Batch size:** It is the number of images to be taken at a time while training. If it's not specified the system by default uses the corresponding values.

**Epochs:** It is the number of iterations of the training of images to be carried out. More the number of epochs better is the training. A catch point arises here. As the number of epochs increase beyond certain iterations model gets over



trained. This is taken care by Drop out layers, where few parts of image are randomly dropped so that model is still able to recognize and classify the test images while testing. The model is generated and can be saved.

This model can be used to test any related images to classify and testing. The model generated can be used any number of times on any machine like mobile, PC, Laptops. These models once created can be used n number of times, hence, this model generating is important. In the real time applications, which can be seen in Facebook and many other applications, Lakhs of images are used to train these models for the better accuracy and to work smoothly and in expected manner. There is trained model available so that the time and efforts needed to train again is reduced

The data set in this research is CIFAR-10. This dataset has 60,000 color pictures, each of which is 32x32 pixels, and includes 6,000 images in each class. Each dataset consists of 50,000 training pictures and 10,000 testing images. Every class is completely separate from every other class.

## II. METHODOLOGY

Images from Cifar 10 data set are taken and are converted into gray scale and resized to 60\*60. Each Image is then converted into DWT components (LL, HL, LH, HH). Also, gray scaled version of original images are converted to DCT coefficients, coefficients obtained are stored in list. These components are given to CNN where in training is started for images. The parameters are given like number of epochs, input filter size, batch size,

Here CNN model considered is a sequential model, the first layer is Convolutional layer where in features are extracted. As the image proceeds deeper into the layers, image is down sized with each feature handling independently. This model has 2 Conv2D layers. Activation function used is ReLU. A fully connected layer is dense layer where in each neuron is connected to every other neuron, 3 dense layers are used in this model. Since the output is a classification of images into 10 classes. We have the last output layer with 10 as the parameter to the output layer. The number of neurons in the intermediate layers must be such that, layers nearing the input are more than decorrelating performance of the output sides. Because the clustering has to happen such that image classify into number of classes specified. Next step is compiling the model, data set is split onto training and testing depending on the ratio provided. In this case we are using 70 % for training and 30% for testing. With the inputs as list, the model is generated as per parameters provided like epochs, batch size if provided else batch size is determined by the system. Model generated above is saved in the specified location. Once the model is generated the testing of the model happens and the accuracy of each model is given as the output to the user.

**Testing:** The model is ready and is up for testing and checking its accuracy and testing if the predicted class of the test image is correct or not. The image to be tested is taken and converted into DWT and DCT coefficients. These values are fed to the model, then prediction is made by model. The decision is made by voting by all the models. Majority wins. The decision is made based on voting by all models. In worst case when system fails to get majority number of votes, Decision is done in favor of that model whose prediction value is greater than 55%.

### *Summarizing the methodology as follows:*

**Step I:** Images are converted to special domain.

**Step II:** Image is compressed using DCT and DWT.

**Step III:** Image is convoluted using TestCNN.

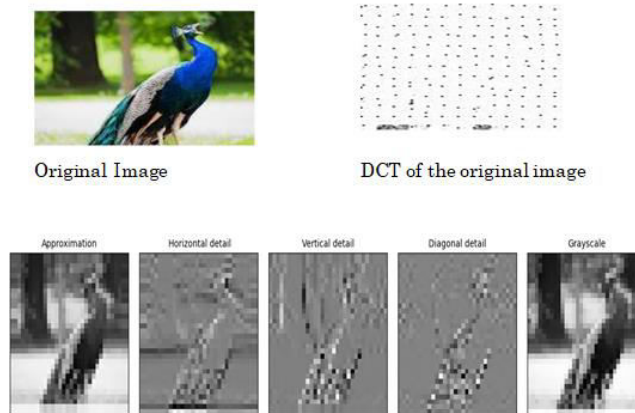
**Step IV:** The models are generated and stored.

**Step V:** Testing of images for its classification accuracy and results for its fluency to classify an image is checked.



### III. EXPERIMENTS AND RESULTS

1. Software used: python 3.7 with tensor flow.Data set used: Cifar10.Operating system: Windows 10.



Various DWT components of Original Image shown above

Figure 3: Image in various forms of original image

The figure 3 shows the various versions of same image when they are converted into DCT and DWT Components.

The Training and testing accuracy obtained for the system for each module is as shown in the graph below:

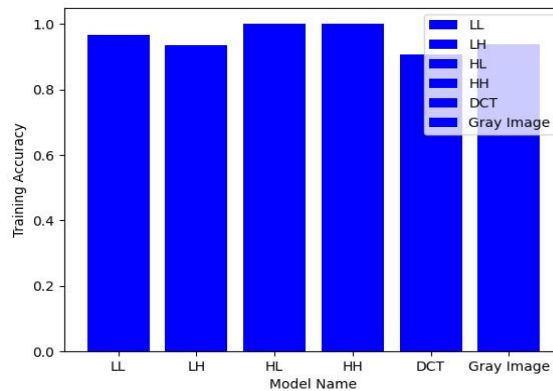


Figure 4: Training accuracy of the model

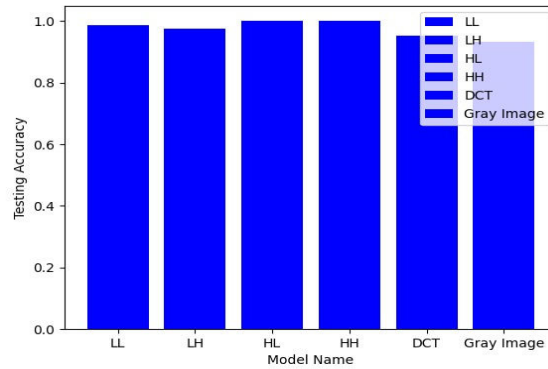


Figure 5: Testing accuracy of the model

The graph of accuracy (training and validations) with respect to number of epochs are as shown below:

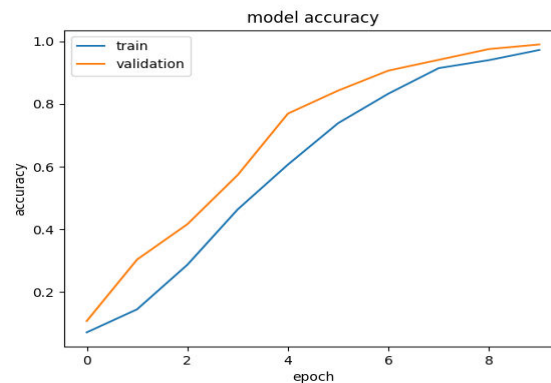


Figure 6: Model Accuracy with respect to number of epochs

The advantages of the model obtained are:

1. Minimum computation.
2. Light weight.
3. Usable in embedded Applications.

#### IV. FURTHER SCOPE

The study can be further extended to other data sets available. This can be implemented into real time systems with lower memory consumption and has better results

#### V. CONCLUSION

This thesis has presented a methodology to detect the object using the Convolution neural Network (CNN) and have employed Tensorflow 2.3 with the python 3.7 version. For training the CNN the CIFAR-10 database has been employed. Instead of providing the images directly to the CNN, a DCT and DWT is obtained for all the images



in database. These co-efficient are fed to the network for training, the ADAM is the optimizer employed before fitting the data for training. The model is successfully trained and provided an accuracy of more than 90% and this shows that the system can be employed for the real time and it works reasonably.

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